Form PTO-144 Condified)
List of Patents and Publication
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

ATTY. DKT. NO. 5053-00509

SERIAL NO. 10/734,738

APPLICANT: Houle et al.

CONF. NO.: 9583

| Disclosure Statement  Use several sheets if necessary)  |  | FILING DATE: December 12, 2003  |                   | ART UNIT: 2621                    |             |               |                               |
|---|--|---|-------------------|-----------------------------------|-------------|---------------|-------------------------------|
|   |  | U   | S. PATENT         | DOCUMENTS                         | <b>.</b>    |               |                               |
| EXAM.<br>INITIALS   | REF.<br>DES.   | DOCUMENT NUMBER   | DATE              | NAME                              | CLASS       | SUB<br>CLASS  | FILING DATE IF<br>APPROPRIATE |
|   |  |   | ·                 |                                   |             |               |                               |
|   |  | FOR   | EIGN PATE         | NT DOCUMENTS                      |             |               |                               |
| EXAM.<br>INITIALS   | REF.<br>DES.   | DOCUMENT NUMBER   | DATE              | COUNTRY                           | CLASS       | SUB<br>CLASS  | TRANSLATION<br>YES/NO         |
| scc   | A1   | GB 2 271 657  | 04/20/1994        | UK                                |             |               |                               |
|   |  |   |                   |                                   |             |               |                               |
|   |  |   |                   |                                   |             |               |                               |
|   | Ţ  |   | <u>-</u>          | or, Title, Date, Pertinent Pa     |             |               |                               |
| scc   | A2   | Dikstra et al., "IRIS An Image 26, Pattern Recognition, 200   |                   | d Interpretation System for the D | utch Postba | ank", Sep. 3, | 2000, pp. 23-                 |
| scc   | · · · · · · · · · · · · · · · · · · ·  |   |                   |                                   | eading on   |               |                               |
|   | A4   | Suen et al., "Automatic Recognition of Handwritten Data on Cheques-Fact or Fiction?", Nov. 1999, pp. 1287-129. Pattern Recognition Letters, North-Holland Publ., Amsterdam, NL, vol. 20, no. 11-13. |                   |                                   |             |               |                               |
| A5 Srihari et al., "Document Image-Processing System For Name and Address Recognition", Dec 391, International Journal of Imaging Systems and Technology, Wiley and Sons, New York, v                     |  |   |                   | rk, vol 7, no.                    |             |               |                               |
| A6 Kaufmann et al., "Amount Translation and Error Localization in Check Processing Using Syntax-Directed Translation," 1998, pp. 1530-1534, Proc. Int. Conf. on Pattern Recognition, Brisbane, Australia. |  |   |                   |                                   | cted        |               |                               |
| 1/_   | A7 International Search Report for European Application No. 03005807.7 mailed May 20 |   |                   |                                   |             |               |                               |
| <b>V</b>  | A8   | Office Communication for E  | uropean Applicati | ion No. 03005807.7 mailed Marc    | ch 9        |               |                               |
|   | <del> </del> -   |   |                   | -                                 |             |               |                               |
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**EXAMINER:** 

/Sheela Chawan/

DATE CONSIDERED:

12/11/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (negdiffed)

List of Patents and Publications
For Applicant's Information TRADE

Disclosure Statement (Use several sheets if necessary)

ATTY. DKT. NO. 5053-00509

APPLICANT: Houle et al.

SERIAL NO. 10/734,738

CONF. NO.: 9583

FILING DATE: December 12, 2003

ART UNIT: 2621

|  |              | Ŭ.   | S. PATENT      | DOCUMENTS                             |              |                               |                                     |
|--|--------------|--|----------------|---------------------------------------|--------------|-------------------------------|-------------------------------------|
| EXAM. REF. DOCUMENT NUMBER INITIALS DES. |              | DATE   | NAME           | CLASS                                 | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |                                     |
|  | A9           | 10/714,523   | 11/14/2003     | Houle et al.                          |              |                               |                                     |
| scc                                      |              | (specification and figures same as above referenced application) |                | ·                                     |              |                               |                                     |
|  | A10          | 10/735,280   | 12/12/2003     | Houle et al.                          |              |                               |                                     |
|  |              | (specification and figures same as above referenced application) |                |                                       |              |                               |                                     |
|  | A12          | 10/741,156   | 12/19/2003     | Houle et al.                          |              |                               |                                     |
|  |              | (specification and figures same as above referenced application) |                | - 22/212                              |              |                               |                                     |
|  | A13          | 10/741,157   | 12/19/2003     | Houle et al.                          |              |                               |                                     |
| $\forall$                                |              | (specification and figures same as above referenced application) | ·              |                                       |              |                               |                                     |
|  |              | FOR  | EIGN PATE      | NT DOCUMENTS                          |              |                               |                                     |
| EXAM.<br>INITIALS                        | REF.<br>DES. | DOCUMENT NUMBER  | DATE           | COUNTRY                               | CLASS        | SUB<br>CLASS                  | TRANSLATION<br>YES/NO               |
|  |              |  |                |                                       |              |                               |                                     |
|  |              |  | <u> </u>       | <u> </u>                              |              |                               |                                     |
|  | <u> </u>     | OTHER ART (Ir  | cluding Author | or, Title, Date, Pertinent            | Pages, Etc.  | <br>)                         | · <del>d.</del> ,                   |
| <del></del>                              |              |  | <u>8</u>       | · · · · · · · · · · · · · · · · · · · |              |                               |                                     |
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**EXAMINER:** 

/Sheela Chawan/

DATE CONSIDERED:

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## DS - 12/13/2 PLECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention SYSTEMS AND METHODS FOR CAPTURING HANDWRITTEN INFORMATION USING HANDWRITING ANALYSIS

**Application Number:** 

10/734738

Confirmation Number:

9583

First Named Applicant:

Gilles Houle

Attorney Docket Number:

5053-00509

Art Unit:

2621

Examiner:

Unknown Unknown

Search string:

( 5454046 or 5680470 or 5710916 or 5828772 or 5909500 or 5995953 or 6084985

or 6108444 or 6157731 or 6249604) pn

## **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date       | Patentee            | Kind | Class | Subclass |
|------|----------|------------|------------|---------------------|------|-------|----------|
| SCC  | 1        | 5454046    | 1995-09-26 | Carman, II          |      |       |          |
| Ιī   | 2        | 5680470    | 1997-10-21 | Moussa et al.       |      |       |          |
| П    | 3        | 5710916    | 1998-01-20 | Barbara et al.      |      |       |          |
| П    | 4        | 5828772    | 1998-10-27 | Kashi et al.        |      |       |          |
|      | 5        | 5909500    | 1999-06-01 | Moore               |      |       |          |
|      | 6        | 5995953    | 1999-11-30 | Rindtorff et al.    |      |       |          |
| П    | 7        | 6084985    | 2000-07-04 | Dolfing et al.      | _    |       |          |
|      | 8        | 6108444    | 2000-08-22 | Syeda-Mahmood       |      |       |          |
|      | 9        | 6157731    | 2000-12-05 | Hu et al.           |      |       |          |
| V    | 10       | 6249604    | 2001-06-19 | Huttenlocher et al. |      |       |          |

## Remarks

Note: Remarks are not for responding to an office action.

Should any fees be required, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert and Goetzel, P.C. Deposit Account No. 50-1505/5053-00509/EBM.

## **Signature**

| Examiner Name   | Date       |  |  |
|-----------------|------------|--|--|
| /Sheela Chawan/ | 12/11/2006 |  |  |